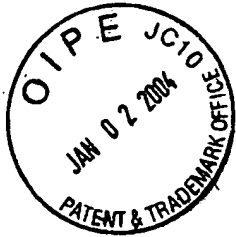


TRANSMITTAL FORM		Application Number	
(to be used for all correspondence after initial filing)		10/666,913	
		Filing Date	
		9/19/03	
		First Named Inventor	
		Boyle	
Group Art Unit		N/A	
Examiner Name		N/A	
Total Number of Pages in This Submission		Attorney Docket Number	
4		12628US04	
ENCLOSURES (check all that apply)			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO 1449/08A <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (        sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	
Remarks		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): POSTCARD	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual Name	McAndrews Held & Malloy, Ltd.		
Signature			
Date	December 29, 2003		
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 29, 2003			
Name (Print/type)	Lawrence M. Jarvis	Registration No. (Attorney/Agent)	27,341
Signature		Date	12/29/2003



PATENT  
Attorney Docket No. 12628US04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Michael R. Boyle  
Chuck R. Craig

Serial No. 10/666,913

Filed: September 19, 2003

For: METHOD FOR GENERATING A  
PORTFOLIO OF STOCKS

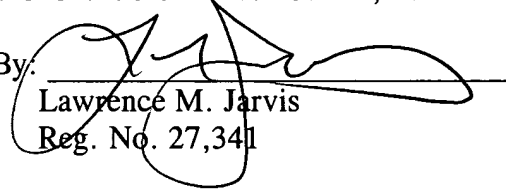
Examiner: Not yet assigned

Group Art Unit: Not Yet Assigned

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to: Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA  
22313-1450 on December 29, 2003

By:

  
Lawrence M. Jarvis  
Reg. No. 27,341

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the Examiner's attention the documents listed on attached Form PTO-1449. Applicant respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by marking an appropriate notation on this form.

This Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits, and therefore shall be considered in accordance with 37 C.F.R. § 1.97(b)(3).

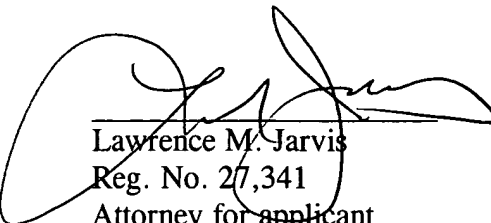
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art" under United States law. Applicant reserves the right to take appropriate

action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our to Deposit Account No. 13-0017.

Respectfully submitted,

Dated: 12/29/03



Lawrence M. Jarvis  
Reg. No. 27,341  
Attorney for applicant

McAndrews, Held & Malloy, Ltd.  
34th Floor  
500 West Madison Street  
Chicago, IL 60661  
(312) 775-8000



FORM PTO-1449	SERIAL NO. 10/666,913	CASE NO. 12628US04
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE September 19, 2003	GROUP ART UNIT N/A
(use several sheets if necessary)	APPLICANT(S):	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,132,899	07/21/92	Fox		
	A2	5,761,442	06/02/98	Barr et al.		
	A3	5,784,696	07/21/98	Meinikoff		
	A4	5,819,238	10/06/98	Fernholz		
	A5	5,884,287	03/16/99	Edesess		
	A6	5,930,774	07/27/99	Chennault		
	A7	5,946,667	08/1999	Tull, Jr. et al.		
	A8	5,978,778	11/02/99	O'Shaughnessy		
	A9	6,035,286	03/07/00	Fried		
	A10	6,088,685	07/2000	Kiron et al.		
	A11	6,161,099	12/12/00	Harrington, et al.		
	A12					
	A13					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A14						
	A15						
	A16						
	A17						
	A18						

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.